

## STATEMENT OF PROFESSIONAL STANDING

This is to certify that  
**James Harvey**

(FCA Reference Number: JXH00152 )

has fully completed the qualifications requirement,  
has maintained competence through continuing professional development  
and has agreed to adhere to the FCA APER and the CISI's Code of Conduct



This Statement of Professional Standing  
is valid for the period:



01 September 2019 to 31 August 2020

Kevin Moore, Chartered FCSI, Head of Accredited Body,  
Chartered Institute for Securities & Investment

Simon Culhane, Chartered FCSI, Chief Executive Officer,  
Chartered Institute for Securities & Investment

People must be approved by the FCA before giving financial advice. You can check if this person is approved by the FCA to give advice by going to [www.fca.org.uk/register](http://www.fca.org.uk/register) and searching with their FCA individual reference number.

CISI number: 190751

CISI Membership Grade: Chartered MCSI

Date of joining: 28 October 2015